

OCT 11 2005

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

FORM PTO-1390  
(REV. 10-2003)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

1418-155

U.S. APPLICATION NO. (If known, see 37 CFR 1.5-

10/553012

INTERNATIONAL APPLICATION NO. PCT/FR2004/001033	INTERNATIONAL FILING DATE 28 Apr 2004	PRIORITY DATE CLAIMED 29 Apr 2003
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TITLE OF INVENTION  
HEADPHONE FOR SPATIAL SOUND REPRODUCTION

APPLICANT(S) FOR DO/EO/US

PHAM, Hung Cong Tuyen; RECHT, Ambroise

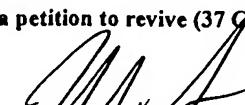
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). will follow
10.  An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A preliminary amendment.
14.  An Application Data Sheet under 37 CFR 1.76.
15.  A substitute specification.
16.  A power of attorney and/or change of address letter. will follow
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18.  A second copy of the published international application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.  Other items or information:

JC05 Rec'd PCT/PTO 11 OCT 2005

U.S. APPLICATION NO. (If domestic application)		INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER																									
<b>107553012</b>		<b>PCT/FR04/001033</b>	<b>1418-155</b>																									
<p>21. <input checked="" type="checkbox"/> The following fees are submitted: See also Fee</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Transmittal for Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1080.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</p> <p><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> <b>\$ 900</b></p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>																												
<table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th>\$</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>- 20 =</td> <td></td> <td>x \$18.00</td> <td>\$</td> </tr> <tr> <td>Independent claims</td> <td>- 3 =</td> <td></td> <td>x \$86.00</td> <td>\$</td> </tr> <tr> <td>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td></td> <td>+ \$290.00</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$ 900</b></td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total claims	- 20 =		x \$18.00	\$	Independent claims	- 3 =		x \$86.00	\$	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 900</b>
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<p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p> <table border="1"> <thead> <tr> <th colspan="2"><b>SUBTOTAL =</b></th> <th><b>\$ 450</b></th> </tr> </thead> <tbody> <tr> <td colspan="2">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>\$</td> </tr> <tr> <td colspan="2"><b>TOTAL NATIONAL FEE =</b></td> <td><b>\$ 450</b></td> </tr> <tr> <td colspan="2">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td>\$</td> </tr> <tr> <td colspan="2"><b>TOTAL FEES ENCLOSED =</b></td> <td><b>\$ 450</b></td> </tr> <tr> <td colspan="2"></td> <td>Amount to be refunded:</td> </tr> <tr> <td colspan="2"></td> <td>charged:</td> </tr> </tbody> </table>				<b>SUBTOTAL =</b>		<b>\$ 450</b>	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	<b>TOTAL NATIONAL FEE =</b>		<b>\$ 450</b>	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 450</b>			Amount to be refunded:			charged:				
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<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 080879 A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																												
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b></p>																												
<p>SEND ALL CORRESPONDENCE TO: Customer No. 24106</p> <p>412 Main St., 7th Floor Houston, Texas 77002 US</p>																												
<p>SIGNATURE  John S. Egbert</p> <p>NAME 30627</p> <p>REGISTRATION NUMBER</p>																												

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 450)

Complete If Known

Application Number	PCT/FR04/001033
Filing Date	28 Apr 2004
First Named Inventor	PHAM, Hung Cong Tuyen et al 107553012
Examiner Name	
Art Unit	
Attorney Docket No.	1418-155

METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

08-0879

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity/ Small Entity

Fee Code	Fee (\$)	Fee Description	Fee Paid
1111/2111	500.00/250.00	Utility Search Fee	
1112/2112	100.00/50.00	Design Search Fee	
1113/2113	300.00/150.00	Plant Search Fee	
1114/2114	500.00/250.00	Reissue Search Fee	
1311/2311	200.00/100.00	Utility Examination Fee	
1312/2312	130.00/65.00	Design Examination Fee	
1313/2313	160.00/80.00	Plant Examination Fee	
1314/2314	600.00/300.00	Reissue Examination Fee	
1201/2201	200.00/100.00	Independent claims in excess of three	
1202/2202	50.00/25.00	Claims in excess of twenty	
1051/2051	130.00/65.00	Surcharge-Late filing fee oath/declaration	
1251/2251	120.00/60.00	Extension for response within first month	
1252/2252	450.00/225.00	Extension for response within second month	
1253/2253	1,020.00/510.00	Extension for response within third month	
1462	400.00	Petitions to the Director (Group I)	
1463	200.00	Petitions to the Director (Group II)	
1464	130.00	Petitions to the Director (Group III)	
1452/2452	500.00/250.00	Petition to revive unavoidably abandoned	
1453/2453	1,500.00/750.00	Petition to revive unintentionally abandoned	
1631/2631	300.00/150.00	Basic National Stage Fee	150
1632/2632	500.00/250.00	National Stage Search Fee	200
1633/2633	200.00/100.00	National Stage Examination Fee	100
1614/2614	200.00/100.00	Claims - extra independent (over three)	
1615/2615	50.00/25.00	Claims - extra total (over twenty)	
1616/2616	360.00/180.00	Claims - multiple dependent	
1617/2617	130.00/65.00	Oath/Declaration after 30 mos from priority English trans. after 30 mos from priority	
1618	130.00		

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1011 300	2011 150	Utility filing fee			
1012 200	2012 100	Design filing fee			
1013 200	2013 100	Plant filing fee			
1014 300	2014 150	Reissue filing fee			
1005 200	2005 100	Provisional filing fee			
<b>SUBTOTAL (1) (\$)</b>					

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims -20% =	Fee from below	Fee Paid
			-3% =		

Large Entity	Small Entity	Fee Code (\$)	Fee (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20		
1201 86	2201 43	Independent claims in excess of 3		
1203 290	2203 145	Multiple dependent claim, if not paid		
1204 86	2204 43	** Reissue Independent claims over original patent		
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent		
<b>SUBTOTAL (2) (\$)</b>				

\*\* or number previously paid, if greater; For Reissues, see above

1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined, (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 450

SUBMITTED BY		(Complete if applicable)		
Name (Print/Type)	John S. Egbert	Registration No. (Attorney/Agent)	30627	Telephone 713224-8080
Signature		Date	10.6.05	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.